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Attorney Donald A. 5405-304

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Pizzo et al.

Confirmation No.: 2746

Application No.: 10/817,023

Group Art Unit: 1632

Filed: April 2, 2004

For: NOVEL ADJUVANT CAPABLE OF SPECIFICALLY ACTIVATING THE  
ADAPTIVE IMMUNE RESPONSE

Date: July 14, 2006

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(b)**

Sir:


Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the amendment by the U.S. Patent and Trademark Office to 37 C.F.R. § 1.98(a)(2)(ii) effective October 21, 2004.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b), within three months of the filing date of the above-referenced application or before the mailing of a first Office Action on the merits, whichever event occurs last. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

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**CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10**

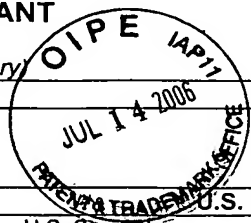
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Amelia Tauchen

Substitute form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/817,023
		Filing Date	April 2, 2004
		First Named Inventor	PIZZO
		Group Art Unit	1648
		Examiner Name	Emily M. Le
		Attorney Docket Number	5405-305
Sheet	1 of 1B		



U.S. PATENT APPLICATIONS				
Examiner Initials*	Cite No.	U.S. Serial No.	Name of Applicant of Cited Document	Date of Filing of Cited Document MM-DD-YYYY
	1.	US- 2005/0063978	FRITZ et al.	03-24-2005
		US-		
		US-		
		US-		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation
		Office	Number	Kind Code (if known)			
	2.	PCT	WO02/32451		CISTEM BIOTECHNOLOGIES GMBH	04-25-2002	

OTHER NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	3.	FRITZ et al. (2004) "The Artificial Antimicrobial Peptide KLKLLLLLKLK Induces Predominantly a T <sub>H</sub> 2-type immune response to co-injected antigens." Vaccine 22, pp. 3274-3284.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.